

**UNITED STATES DISTRICT COURT
DISTRICT OF NEVADA**

DARREN A. LUNFORD,

Plaintiff,

vs.

BRUCE BANNISTER, CHUCK
SCARDIN, D.W. NEVEN, GARY
GRAHAM, AND DR. WULFF,

Defendants.

3:08-CV-233-ECR (RAM)

**REPORT AND RECOMMENDATION
OF U.S. MAGISTRATE JUDGE**

This Report and Recommendation is made to the Honorable Edward C. Reed, Jr., Senior United States District Judge. The action was referred to the undersigned Magistrate Judge pursuant to 28 U.S.C. § 636(b)(1)(B) and the Local Rules of Practice, LR IB 1-4. Before the court is Defendants' Motion for Summary Judgment. (Doc. #37.)¹ Plaintiff has opposed (Doc. #39), and Defendants have replied (Doc. #40). After a thorough review, the court recommends that Defendants' Motion for Summary Judgment be granted, in part, and denied, in part.

I. BACKGROUND

At all relevant times, Plaintiff Darren A. Lunford was an inmate in custody of the Nevada Department of Corrections (NDOC) at High Desert State Prison. (Pl.'s Compl. 1 (Doc. #4) .) Defendant Bannister is named as the Medical Director of NDOC, Defendant Scardin as the "Chief of medical fiscal services," Defendant Neven as the Warden of Operations, and Defendants Graham and Wulff as treating physicians. (*Id.* at 2-3.) Plaintiff, a *pro se* litigant, brings this action pursuant to 42 U.S.C. § 1983 based on delayed medical care he received while

¹ Refers to court's docket number.

1 at High Desert State Prison. (*Id.* at 3.) Plaintiff seeks declaratory relief, injunctive relief,
2 compensatory damages, and punitive damages. (*Id.* at 9.)

3 In Count I, Plaintiff alleges Defendants denied him prescribed medication and an
4 appointment with an ear, nose, and throat (ENT) specialist in violation of the Eighth
5 Amendment. (*Id.* at 4-6.)

6 In Count II, Plaintiff claims Defendants improperly treated his shoulder injury in
7 violation of the Eighth Amendment. (*Id.* at 7-10.)

8 On March 12, 2009, this court denied, in part, and granted, in part, Defendants' Motion
9 for Summary Judgment. (Doc. #27)(order adopting Report and Recommendation (Doc. #20).)
10 Defendants' Motion (Doc. #13) was denied as to Counts I and II and granted as to the claims
11 asserted against Defendants Bannister, Scardin, and Nevin in their official capacities for money
12 damages. (Doc. #27 at 2.) The court also denied Plaintiff's Motion for Summary Judgment
13 (Doc. #12) on March 12, 2009. (Doc. #27 at 2.) On May 24, 2009, the court dismissed
14 Defendant Wulff without prejudice. (Doc. #33.) The instant motion before the court is
15 Defendants' second motion for summary judgment.

16 **II. LEGAL STANDARD**

17 The purpose of summary judgment is to avoid unnecessary trials when there is no
18 dispute over the facts before the court. *Nw. Motorcycle Ass'n v. U.S. Dep't of Agric.*, 18 F.3d
19 1468, 1471 (9th Cir. 1994). All reasonable inferences are drawn in favor of the non-moving
20 party. *In re Slatkin*, 525 F.3d 805, 810 (9th Cir. 2008) (citing *Anderson v. Liberty Lobby, Inc.*,
21 477 U.S. 242, 244 (1986)). Summary judgment is appropriate if "the pleadings, the discovery
22 and disclosure materials on file, and any affidavits show that there is no genuine issue as to any
23 material fact and that the movant is entitled to judgment as a matter of law." *Id.* (citing
24 Fed.R.Civ.P. 56(c)). Where reasonable minds could differ on the material facts at issue,
25 however, summary judgment is not appropriate. *Warren v. City of Carlsbad*, 58 F.3d 439, 441
26 (9th Cir. 1995), *cert. denied*, 516 U.S. 1171 (1996). In deciding whether to grant summary
27 judgment, the court must view all evidence and any inferences arising from the evidence in the

light most favorable to the nonmoving party. *Bagdadi v. Nazar*, 84 F.3d 1194, 1197 (9th Cir. 1996). In doing so, the court must defer to the professional judgment of prison administrators when an inmate civil rights complaint is involved. *Beard v. Banks*, 548 U.S. 521, 526, 530 (2006); *Overton v. Bazzetta*, 539 U.S. 126, 132 (2003).

The moving party bears the burden of informing the court of the basis for its motion, together with evidence demonstrating the absence of any genuine issue of material fact. *Celotex Corp. v. Catrett*, 477 U.S. 317, 323 (1986). Once the moving party has met its burden, the party opposing the motion may not rest upon mere allegations or denials of the pleadings, but must set forth specific facts showing there is a genuine issue for trial. *Anderson*, 477 U.S. at 248. Although the parties may submit evidence in an inadmissible form, only evidence which might be admissible at trial may be considered by a trial court in ruling on a motion for summary judgment. Fed. R. Civ. P. 56(c).

In evaluating the appropriateness of summary judgment, three steps are necessary: (1) determining whether a fact is material; (2) determining whether there is a genuine issue for the trier of fact, as determined by the documents submitted to the court; and (3) considering that evidence in light of the appropriate standard of proof. *Anderson*, 477 U.S. at 248. As to materiality, only disputes over facts that might affect the outcome of the suit under the governing law will properly preclude the entry of summary judgment; factual disputes which are irrelevant or unnecessary will not be considered. *Id.* Where there is a complete failure of proof concerning an essential element of the nonmoving party's case, all other facts are rendered immaterial, and the moving party is entitled to judgment as a matter of law. *Celotex*, 477 U.S. at 323.

III. DISCUSSION

A prisoner can establish an Eighth Amendment violation arising from deficient medical care if he can prove that prison officials were deliberately indifferent to a serious medical need. *Estelle v. Gamble*, 429 U.S. 97, 104 (1976). A finding of deliberate indifference involves the examination of two elements: the seriousness of the prisoner's medical need and the nature of

1 the defendant's responses to that need. *McGuckin v. Smith*, 974 F.2d 1050, 1059 (9th Cir.
2 1992). A "serious" medical need exists if the failure to treat a prisoner's condition could lead
3 to further injury or the "unnecessary and wanton infliction of pain." *Id.* (citing *Estelle*, 429 U.S.
4 at 104). Examples of conditions that are "serious" in nature include an injury that a reasonable
5 doctor or patient would find important and worthy of comment or treatment, a medical
6 condition that significantly affects an individual's daily activities, or the existence of chronic
7 and substantial pain. *McGuckin*, 974 F.2d at 1060; *see also Lopez v. Smith*, 203 F.3d 1122, 1131
8 (9th Cir. 2000).

9 If the medical needs are serious, the plaintiff must show that the defendants acted with
10 deliberate indifference to those needs. *Estelle*, 429 U.S. at 104. "Deliberate indifference is a
11 high legal standard." *Toughi v. Soon Hwang Chung*, 391 F.3d 1051, 1060 (9th Cir. 2004).
12 The plaintiff must demonstrate that the prison medical staff knew of and disregarded an
13 excessive risk to his health. *Farmer v. Brennan*, 511 U.S. 825, 837 (1994). Deliberate
14 indifference entails something more than medical malpractice or even gross negligence.
15 *Toughi*, 391 F.3d at 1061. Inadvertence, by itself, is insufficient to establish a cause of action
16 under § 1983. *McGuckin v. Smith*, 974 F.2d 1050, 1060 (9th Cir. 1992), *overruled on other*
17 *grounds, WMX Techs, Inc. v. Miller*, 104 F.3d 1133, 136 (9th Cir. 1997). Instead, deliberate
18 indifference is only present when a prison official "knows of and disregards an excessive risk"
19 to an inmate's health and safety. *Clement v. Gomez*, 298 F.3d 898, 904 (9th Cir. 2003)
20 (quoting *Farmer v. Brennan*, 511 U.S. 825, 858 (1994)). "Prison officials are deliberately
21 indifferent to a prisoner's serious medical needs when they deny, delay, or intentionally
22 interfere with medical treatment" or the express orders of a prisoner's prior physician for
23 reasons unrelated to the medical needs of the prisoner. *Hamilton v. Endell*, 981 F.2d 1062,
24 1066 (9th Cir. 1992); *Hunt v. Dental Dep't.*, 865 F.2d 198, 201 (9th Cir. 1989) (citations
25 omitted). Where delay in receiving medical treatment is alleged, however, a prisoner must
26 demonstrate that the delay led to further injury. *McGuckin*, 974 F.2d at 1060.

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1 **A. Count I**

2 Plaintiff alleges a fifteen-month denial of medication and an appointment with an ENT
3 specialist that were originally prescribed on January 31, 2007. Plaintiff was seen by a specialist
4 and received medication in May 2008. (Pl.'s Opp. to Summ. J. 2 (Doc. #39); Pl.'s Mot. for
5 Summ. J. 6 (Doc. #12).)

6 Defendants argue that Plaintiff fails to demonstrate a serious medical need and fails to
7 show that Defendants acted with deliberate indifference. (Defs.' Mot. for Summ. J. 20-21 (Doc.
8 #37).)

9 On January 31, 2007, Plaintiff was evaluated by Dr. Mumford. (*Id.*, Ex. A at 5.) Dr.
10 Mumford prescribed Antivert and referred plaintiff to an ENT specialist. (*Id.*) On March 28,
11 2007, Plaintiff filed an informal grievance stating that he had not received his medication. (*Id.*,
12 Ex. B.) On April, 5, 2007, a prison official responded to Plaintiff by explaining that Antivert
13 was not in stock at the pharmacy, apologizing that Plaintiff had not received it, and stating that
14 he would receive the medication soon. (*Id.*) Plaintiff received the same response from prison
15 officials on May 17, 2007 at the first level of review. (*Id.*) On May 30, 2007, Defendant
16 Bannister stated at the second level of review that he believed Plaintiff received reasonable and
17 honest answers at the informal and first level of the grievance process. (*Id.*) Defendant
18 Bannister advised Plaintiff to contact medical if he continued to have serious problems. (*Id.*)
19 Plaintiff was transferred to a different prison in January 2008, and he wrote to Defendant
20 Bannister in February 2008 regarding his ENT condition. (Pl.'s Mot. for Prelim. Inj., Ex. C
21 (Doc. #10).) Plaintiff was finally seen by an ENT specialist and prescribed an alternative
22 medication in May 2008. (Pl.'s Mot. for Summ. J. at 6.)

23 Plaintiff was evaluated by Defendant Graham twice in April 2007 for another ailment.
24 (Defs.' Mot. for Summ. J., Ex. A at 5-6.) Plaintiff did not mention his ENT condition on either
25 of these occasions. (*Id.*)

26 Defendants, in large part, assert the same arguments in the instant motion as they
27 asserted in their first motion for summary judgment. Although Defendants present a more
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1 thorough recitation of the facts and provide a more comprehensive argument, they still fail to
2 show the absence of a genuine issue of material fact. In denying Defendants' first motion for
3 summary judgment, the court concluded that whether Plaintiff's medical condition subsided
4 during the period his treatment was delayed raised a genuine issue of material fact as to
5 whether the prison officials were deliberately indifferent to a serious medical need. (Doc. #27
6 at 1.) Specifically, the court found that Defendants failed to offer an explanation for the delay
7 in providing Plaintiff treatment. (Doc. #20 at 7.) Moreover, the court found that Defendants
8 failed to offer any evidence that Plaintiff was not harmed as a result of the delay in treatment.
9 (*Id.*)

10 Defendants argue, as they did in their first motion for summary judgment, that Plaintiff
11 cannot demonstrate that his ENT condition is "serious" because he did not seek additional
12 treatment for his ENT condition after being prescribed Antivert and being referred to an ENT
13 specialist on January 31, 2007. (Defs.' Mot. for Summ. J. 20-21.) Defendants contend that
14 Plaintiff's failure to mention any ENT issues at two medical appointments in April indicate that
15 his ENT condition was not serious. (*Id.*) Additionally, Defendants assert that Plaintiff made
16 no mention of the prescribed medication or his ENT condition even after receiving a grievance
17 response in May 2007 directing him to contact medical if he was having any serious problems.
18 (*Id.*)

19 First, sufficient evidence exists to create a genuine issue of material fact with regard to
20 whether Plaintiff's ENT condition is a "serious" medical need. A "serious" medical need exists
21 if the failure to treat a prisoner's condition could lead to further injury or the "unnecessary and
22 wanton infliction of pain." *McGuckin v. Smith*, 974 F.2d 1050, 1059 (9th Cir. 1992) (citing
23 *Estelle*, 429 U.S. at 104). Examples of conditions that are "serious" in nature include an injury
24 that a reasonable doctor or patient would find important and worthy of comment or treatment,
25 a medical condition that significantly affects an individual's daily activities, or the existence of
26 chronic and substantial pain. *McGuckin*, 974 F.2d at 1060. Plaintiff's ENT condition was
27 worthy of comment and treatment by a doctor on January 31, 2007 and in May 2008. Plaintiff
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1 commented on his ENT condition and lack of medication from March 2007 to May 2007 in his
2 grievances. Although Plaintiff did not seek additional treatment from May 2007 until February
3 2008, Plaintiff stated in his February 2008 letter that he has suffered from his ENT condition
4 over the last year and a half. (Pl.'s Mot. for Prelim. Inj., Ex. C.) Thus, a factual issue exists as
5 to whether Plaintiff's ENT condition subsided between May 2007 and February 2008 or
6 whether it remained a "serious" medical need.

7 Second, a genuine issue of material fact exists as to whether Defendants acted with
8 deliberate indifference. To refute Plaintiff's allegation of Defendants' deliberate indifference,
9 Defendants submit an affidavit from Karen L. Walsh, the Health Information Director for the
10 State of Nevada, Nevada Department of Corrections. (Defs.' Mot. for Summ. J., Ex. A.) Ms.
11 Walsh states that "any delay of Antivert medication, or a generic form of such medication, was
12 not in order to inflict pain or harm to Plaintiff for pain's sake." (*Id.*, Ex. A at 6.) However,
13 Plaintiff submits his own affidavit in which he asserts that he never received Antivert "and at
14 this present day in time ... continue[s] to suffer from [his] ENT condition." (Pl.'s Opp. to
15 Summ. J. 15 (Doc. #39.) Therefore, as with their first motion for summary judgment,
16 Defendants' instant motion fails to explain the delay of over fourteen months in providing
17 Plaintiff treatment and fails to show that Plaintiff did not suffer harm as a result of the delay.

18 **B. Count II**

19 Plaintiff alleges three deficiencies with the treatment for his shoulder injury. First, he
20 claims that the treatment rendered by Defendant Graham and other medical staff at the prison
21 has been insufficient and has exacerbated his condition. Second, he alleges that he was referred
22 to a doctor in September 2006, but that the appointment was delayed for an eighth-month
23 period until April 2007. Third, Plaintiff challenges the care provided by his orthopedic
24 specialist, Defendant Wulff, because the doctor did not believe that surgery was necessary and
25 that therapeutic exercises would be sufficient to cure the condition. Plaintiff alleges that he is
26 in need of a magnetic resonance image (MRI) of the affected area, painkillers, and surgery.
27 (Pl.'s Opp. to Summ. J. 2, 9-13.)

1 Defendants argue that Plaintiff fails to show that Defendants acted with deliberate
2 indifference in treating his shoulder. (Defs.' Mot. for Summ. J. 21.)

3 Plaintiff received treatment for his shoulder on several occasions between May 2006 and
4 September 2006. (*Id.*, Ex. A at 3-4.) On September 14, 2006, Plaintiff was evaluated by a
5 nurse who referred Plaintiff to the prison doctor for the treatment of his shoulder pain. (*Id.*,
6 Ex. A at 4.) On October 4, November 8, and November 22, 2006, Plaintiff's appointment with
7 the doctor was cancelled for administrative reasons. (*Id.*) Between October and December
8 2006, Plaintiff filed grievances attempting to obtain treatment for his shoulder pain. (Pl.'s
9 Mot. for Prelim. Inj., Ex. B (Grievance Printout for # 2006-26-16793).) Plaintiff was seen by
10 a doctor on January 31, 2007, but did not mention his shoulder injury. (Defs.' Mot. for Summ.
11 J., Ex. A at 4.) Plaintiff was also treated by a doctor on April 4, 2007. (*Id.* at 4-5.) On this
12 occasion, Plaintiff mentioned that his shoulder injury was re-injured in March 2007 and was
13 prescribed painkillers for his shoulder. (*Id.*)

14 In denying Defendants' first motion for summary judgment, the court concluded that
15 Plaintiff failed to state Eighth Amendment claims insofar as he as claimed (1) that his condition
16 required treatment by a physician as opposed to other licensed medical personnel, and (2) a
17 difference of opinion regarding the appropriate court of medical treatment for his shoulder.
18 (Doc. #20 at 7.) However, the court concluded that it is "disputable" as to whether Defendants'
19 delay in proving Plaintiff treatment for his shoulder was purposeful or not. (Doc. #27 at 2.)
20 Despite Defendants' contention that the delay in treatment was a result of administrative or
21 transport reasons, the court found that the delay in rescheduling Plaintiff's doctor appointment
22 might have unnecessarily caused Plaintiff to endure constant shoulder pain for several months
23 with no legitimate penological purpose. (*Id.*)

24 In their instant motion, Defendants argue that Plaintiff's appointments were delayed
25 because there were no officers available to transport Plaintiff to the appointment. (Defs.' Mot.
26 for Summ. J. 22.) According to Defendants, rescheduling Plaintiff's appointments was done
27 to preserve the "safety and security of the institution when there are no officers to transport
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1 Plaintiff” and not “to inflict unnecessary pain on Plaintiff.” (*Id.*)

2 A genuine issue of material fact exists as to whether Defendants were deliberately
3 indifferent to Plaintiff’s shoulder injury. Despite Defendants’ assertion that Plaintiff’s
4 appointment was delayed because of safety and security reasons, the fact remains that
5 Plaintiff’s referral to see a doctor was delayed by at least four months from September 2006
6 until January 2007. Plaintiff asserts in his affidavit that he was denied pain killers during that
7 time and was in extreme pain. (Pl.’s Opp. to Summ. J. 15.) Thus, the delay in treatment may
8 have unnecessarily caused Plaintiff to endure constant shoulder pain. In some instances, it
9 may be important to balance the “competing tensions” between “the prisoners’ need for
10 medical attention and the government’s need to maintain order and discipline,” in assessing
11 the prison officials’ subjective intent. *Clement v. Gomez*, 298 F.3d 898, 905 n.4 (9th Cir.
12 2002). However, “[i]n deciding whether there has been deliberate indifference to an inmate’s
13 serious medical needs, [a court] need not defer to the judgment of prison doctors or
14 administrators.” *Hunt v. Dental Dep’t*, 865 F.2d 198, 200 (9th Cir. 1989). Defendants may be
15 able to show that pressing safety and security needs may have necessitated the delay in
16 Plaintiff’s doctor’s appointment. See *Clement*, 298 F.3d at 905 n.4 (noting that the four-hour
17 delay in medical attention following a violent prison fight may have been necessitated due to
18 the potential for violence and may impact the evaluation of whether the prison official has the
19 requisite subjective intent.) On the current record, however, a factual issue exists as to whether
20 Defendants unnecessarily delayed Plaintiff’s treatment for at least four months and caused him
21 further harm.

22 **C. Qualified Immunity**

23 Defendants argue they are entitled to qualified immunity because Plaintiff cannot
24 demonstrate any unlawful conduct by Defendants. (Defs.’ Mot. for Summ. J. at 9.) Even if
25 Plaintiff establishes a constitutional deprivation, Defendants contend that it would not be clear
26 to reasonable officers that Plaintiff’s medical care was either unlawful or a constitutional
27 violation. (*Id.*)

1 “[Q]ualified immunity protects government officials from liability for civil damages
2 insofar as their conduct does not violate clearly established statutory or constitutional rights
3 of which a reasonable person would have known.” *Pearson v. Callahan*, 129 S. Ct. 808, 815
4 (2009)(citation and internal quotations omitted). Under certain circumstances, state officials
5 are entitled to qualified immunity when sued in their personal capacities. *Carey v. Nev.*
6 *Gaming Control Bd.*, 279 F.3d 873, 879 (9th Cir. 2002). When a state official reasonably
7 believes his or her acts were lawful in light of clearly established law and the information they
8 possessed, the official may claim qualified immunity. *Hunter v. Bryant*, 502 U.S. 224, 227
9 (1991) (per curiam); *Orin v. Barclay*, 272 F.3d 1207, 1214 (9th Cir. 2001). Where “the law did
10 not put the officer on notice that his conduct would be clearly unlawful, summary judgment
11 based on qualified immunity is appropriate.” *Saucier v. Katz*, 533 U.S. 194, 202 (2001).

12 In analyzing whether the defendant is entitled to qualified immunity, the court must
13 consider two issues. The court must determine whether the plaintiff alleges a deprivation of
14 a constitutional right, assuming the truth of his factual allegations, and whether the right at
15 issue was “clearly established” at the time of defendant’s alleged misconduct. *Clouthier v.*
16 *County of Contra Costa*, 2010 U.S. App. LEXIS 884, *13 (9th Cir. Jan. 14, 2010)(quoting
17 *Pearson*, 129 S. Ct. at 816). “Whether a right is clearly established turns on the ‘objective legal
18 reasonableness of the action, assessed in light of the legal rules that were clearly established
19 at the time it was taken.’” (*Id.*)(quoting *Pearson*, 129 S. Ct. at 822).

20 The court finds that an Eighth Amendment claim is presented under the facts alleged
21 by Plaintiff.

22 _____In denying Defendants’ first motion for summary judgment, the court found that
23 Defendants failed to explain why it would not be clear to a reasonable officer that Plaintiff’s
24 medical care was either unlawful or a constitutional violation. (Doc. #20 at 10.) In their
25 instant motion for summary judgment, Defendants contend that Plaintiff’s failure to seek
26 follow-up treatment after January 31, 2007 for his ENT condition, and failure to mention his
27 ENT condition at his two appointment in April demonstrates that Defendants could reasonably

1 assume that Plaintiff's medical care was not a violation of Plaintiff's constitutional rights.
2 (Defs.' Mot. for Summ. J. 10-11.) With regard to Plaintiff's shoulder injury, Defendants argue
3 that it would not have been clear to Defendants that not being able to transport Plaintiff to his
4 appointments because there were no officers available was a violation of Plaintiff's rights. (*Id.*
5 at 12.)

6 The determination of whether the rights of prisoners were clearly established at the time
7 of an incident "must be taken in light of the specific context of the case." *Saucier v. Katz*, 533
8 U.S. 194, 201 (2001). To be clearly established, "[t]he contours of the right must be sufficiently
9 clear that a reasonable official would understand that what [the official] is doing violates the
10 right." *CarePartners LLC v. Lashway*, 545 F.3d 867, 882 (9th Cir. 2008)(quoting *Saucier*, 533
11 U.S. at 202).

12 The general law regarding the medical treatment of prisoners was clearly established at
13 the time periods in question in this case. *See Clement v. Gomez*, 298 F.3d 898, 906 (9th Cir.
14 2002). Moreover, the law prohibiting officers from intentionally denying or delaying access
15 to medical care was also clearly established. *See Estelle*, 429 U.S. at 104-05.

16 Upon resolution of factual issues, prison officials may be relieved of any liability in this
17 case. However, if Plaintiff's version of the facts were to prevail at trial, a jury might conclude
18 that the prison officials were deliberately indifferent in delaying Plaintiff's receipt of medication
19 and a referral to a specialist for his ENT condition and delaying Plaintiff's doctor appointment
20 for his shoulder injury. Under such circumstances, the prison officials' actions are not
21 protected by qualified immunity. Thus, summary judgment is inappropriate as to Count I and
22 Count II.

23 **D. Personal Participation**

24 Defendants Neven and Scardin argue that they should be dismissed from this action
25 because Plaintiff fails to show that either personally participated in the alleged constitutional
26 violations. (Defs.' Mot. for Summ. J. 23-24.)

27 A claim brought under 42 U.S.C. § 1983 requires a specific relationship between the
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1 actions of the defendants and the deprivation alleged to have been suffered by plaintiff. *See*
2 *Monell v. Dep't of Social Servs.*, 436 U.S. 658 (1978); *Rizzo v. Goode*, 423 U.S. 362 (1976). The
3 Ninth Circuit has held that “[a] person ‘subjects’ another to the deprivation of a constitutional
4 right, within the meaning of §1983, if he does an affirmative act, participates in another’s
5 affirmative acts or omits to perform an act which he is legally required to do that causes the
6 deprivation of which complaint is made.” *Johnson v. Duffy*, 588 F.2d 740, 743 (9th Cir. 1978).

7 In his motion for a preliminary injunction, Plaintiff submitted a letter he sent to
8 Defendant Neven dated May 28, 2007, in which he described the problems he was experiencing
9 in receiving treatment for his ENT and shoulder conditions. (Pl.’s Mot. for Prelim. Inj., Ex. C.)
10 On May 29, 2007, Defendant issued a memorandum to Plaintiff advising him that his
11 correspondence had been received and referred to LaVonne Atkins-St. Rose for a response.
12 (*Id.*) Therefore, Plaintiff not only alleges Defendant Neven’s participation but submits
13 evidence showing he actually corresponded with Defendant Neven regarding his medical care
14 issues.

15 As to Defendant Scardin, Plaintiff alleges that “Scardin and [Neven] refused to grant the
16 funds necessary to purchase [Antivert].” (Pl.’s Compl. 6.) Plaintiff, however, fails to supply
17 more detailed allegations or evidence demonstrating that Defendant Scardin personally
18 participated in denying Plaintiff his medication.

19 Moreover, to the extent that Plaintiff has attempted to sue Defendant Scardin under a
20 theory of supervisory liability, Plaintiff has not alleged sufficient facts to state a claim.
21 Supervisory personnel are generally not liable under § 1983 for the actions of their employees
22 under a theory of respondeat superior. When a named defendant holds a supervisory position,
23 the causal link between him and the claimed constitutional violation must be specifically
24 alleged. *See Fayle v. Stapley*, 607 F.2d 858, 862 (9th Cir. 1979); *Mosher v. Saalfeld*, 589 F.2d
25 438, 441 (9th Cir. 1978), *cert. denied*, 442 U.S. 941 (1979). Plaintiff must allege facts indicating
26 that a supervisory defendant either: personally participated in the alleged deprivation of
27 constitutional rights; knew of the violations and failed to act to prevent them; or promulgated

or implemented a policy “so deficient that the policy itself is a repudiation of constitutional rights” and is “the moving force of the constitutional violation.” *Hansen v. Black*, 885 F.2d 642, 646 (9th Cir. 1989); *Taylor v. List*, 880 F.2d 1040, 1045 (9th Cir. 1989). Plaintiff has failed to allege any facts against Defendant Scardin to state a claim for a constitutional violation or for supervisory liability. Therefore, Defendant Scardin should be dismissed from this action.

IV. RECOMMENDATION

IT IS HEREBY RECOMMENDED that the District Judge enter an Order **GRANTING IN PART and DENYING IN PART** Defendants’ Motion for Summary Judgment (Doc. #37) as follows:

- The motion for summary judgment on Count I and II should be granted as to Defendant Scardin; and
- The motion for summary judgment on Count I and II should be denied as to Defendant Bannister, Neven, and Graham.

The parties should be aware of the following:

1. That they may file, pursuant to 28 U.S.C. § 636(b)(1)(c) and Rule IB 3-2 of the Local Rules of Practice, specific written objections to this Report and Recommendation within fourteen (14) days of receipt. These objections should be titled “Objections to Magistrate Judge’s Report and Recommendation” and should be accompanied by points and authorities for consideration by the District Court.

2. That this Report and Recommendation is not an appealable order and that any notice of appeal pursuant to Rule 4(a)(1), Fed. R. App. P., should not be filed until entry of the District Court’s judgment.

DATED: February 11, 2010.



UNITED STATES MAGISTRATE JUDGE